

345. THE SUBPOLAR FORM OF A LINEAR TRANSFORMATION*

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For a singular linear transformation A on an n -dimensional unitary space, the unitary part of the polar decomposition is not unique [1, p. 169]. In this note we study a decomposition of A called the subpolar decomposition of A in which the factors are unique.

1. Definitions and notations. We shall use the standard notations of linear algebra of a unitary space E_n . For a linear transformation A on E_n the adjoint A^* is defined by $(A\xi, \eta) = (\xi, A^*\eta)$. The generalized inverse of A will be denoted by A^- [3]. It is clear that $AA^- = P$ and $A^-A = Q$, where P is the Hermitian projection on the range of A and Q is the Hermitian projection on the range of A^* .

A linear transformation A on E_n is called subunitary if $A^- = A^*$. One can easily see that a subunitary transformation is the same as a partial isometry [1, p. 150].

2. Theorem. *Let A be a subunitary transformation on E_n . Then:*

- i) *Each proper value of A has absolute value less than or equal to one;*
- ii) *Every non-zero proper value of A has absolute value one if and only if A is normal;*
- iii) *If ξ is a proper vector corresponding to the non-zero proper value m , then $\|A^*\xi\| = \|\xi\|$;*
- iv) *Let $m_1 \neq m_2$ be two non-zero proper values of A with ξ_1, ξ_2 the corresponding proper vectors. Then $(\xi_1, \xi_2) = (A^*\xi_1, A^*\xi_2)$.*

The proof will be omitted.

3. Theorem. *A necessary and sufficient condition for a linear transformation A on E_n to be subunitary is that A is a product of a unitary transformation and a Hermitian projection.*

(The proof is omitted.)

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This theorem enables one to choose an orthonormal basis with respect to which the matrix of A has the form:

$$\begin{pmatrix} u_{11} & \cdots & u_{1n} \\ u_{k1} & \cdots & u_{kn} \\ 0 & \cdots & 0 \\ \vdots & & \vdots \\ 0 & \cdots & 0 \end{pmatrix},$$

where k is the rank of A and the set $\{u_{i1}, \dots, u_{in}\}$ is orthonormal. Similarly one can obtain a matrix of the form:

$$\begin{pmatrix} v_{11} & \cdots & v_{1k} & 0 & \cdots & 0 \\ \vdots & & \vdots & & & \vdots \\ v_{n1} & \cdots & v_{nk} & 0 & \cdots & 0 \end{pmatrix},$$

where $\{v_{n1}, \dots, v_{nk}\}$ is orthonormal.

4. Theorem. Let A be a linear transformation of rank k on E_n . Then there exist a unique subunitary transformation S with $S^{-1}S = A^{-1}A$, and a unique positive semi-definite transformation K of rank k such that $A = SK$. This form is called the subpolar form of A .

Proof. It is clear that we may put $S = A^{-1}\sqrt{A^*A}$ and $K = \sqrt{A^*A}$. This proves the existence of S and K .

Now suppose $A = S_1K_1 = S_2K_2$. This implies $K_1^2 = K_1S_1^{-1}S_1K_1 = K_2S_2^{-1}S_2K_2 = K_2^2$. Thus $K_1 = K_2 = \sqrt{A^*A}$. On the other hand we observe that $S_1S_1^{-1} = S_2S_2^{-1} = P$, where P is the Hermitian projection on the range of A . This with $S_1\sqrt{A^*A} = S_2\sqrt{A^*A}$ implies the uniqueness of S .

5. Corollary. Let A satisfy the hypothesis of 4. Then A can be uniquely written as $A = \sqrt{AA^*}S$, where S is the same subunitary transformation of 4.

Proof. It is clear that $A = S\sqrt{A^*A}$ implies $A^* = \sqrt{A^*A}S^*$. Thus one may conclude that $\sqrt{AA^*} = S\sqrt{A^*A}S^*$ which implies $\sqrt{AA^*}S = S\sqrt{A^*A}$. This proves the corollary.

6. Theorem. Let $A = SK$ be the subpolar form of A . Then A is normal if and only if $SK = KS$.

The proof is very simple and will be omitted.

7. Theorem (HESTENES [2]). Let A be a non-zero linear transformation on E_n . Let $m_1 > \dots > m_h > 0$ be the distinct absolute singular values of A . Then A has a unique decomposition

$$A = m_1S_1 + \dots + m_hS_h,$$

where $S_i, i = 1, \dots, h$ are subunitary and $S_1 + \dots + S_h = S$, the subunitary part of A .

The proof is clear if one considers the diagonal form of $\sqrt{A^*A}$.

REFERENCES

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