# TRANSFER MATRICES OF N-DIMENSIONAL SIERPINSKI TETRAHEDRON 

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#### Abstract

We present the transfer matrix $M=\left(m_{i}^{j}\right)$ of $n$-dimensional SIERPINSKI tetrahedron and show that the Fractal dimension of the $n$-dimensional SierpinSKI tetrahedron is equal to $\ln (n+1) / \ln 2$ in connection with the matrix $M=\left(m_{i}^{j}\right)$.


## 1. INTRODUCTION

Mandelbrot, Gefent, Aharony and Peyriere [4] introduced transfer matrices of fractals. In [4], it was shown that when two transfer matrices of a fractal coming from related geometric constructions are diagonalizable.

Wen [6] considered also diagonalizability of transfer matrices of fractals. Nenska-Ficek [3] considered duality of fractals and the dual of Sierpinski gasket. Kim-Kim [2] studied the fractal dimension of an $n$-dimensional Sierpinski tetrahedron.

In this paper we construct transfer matrices of $n$-dimensional Sierpinski tetrahedron, denoting it by $n$, and discuss the fractal dimension of $n$. We also consider the dual Sierpinski gasket.

## 2. AN EXAMPLE OF TRANSFER MATRIX OF SIERPINSKI GASKET

In this section, we give an example of transfer matrix of Sierpinski gasket.
(1) We define two sets $S=\{1,2,3\}$ and $E=\{w, e, s\}$. We define two mappings $\tau$ and $\phi$ as follows: $\tau(1)=\{s\}, \tau(2)=\{e\}, \tau(3)=\{w\}, \phi(1)=\{w, e\}$, $\phi(2)=\{w, s\}$ and $\phi(3)=\{e, s\}$, in connection with two triangles:

[^0]$\Delta(0) \equiv$

$\Delta(1) \equiv$


We define $I_{i}$ and $J_{i}$ as follows: $I_{i}=J_{i}(i=1,2,3,4,5,6,7), I_{1}=\{w\}$, $I_{2}=\{e\}, I_{3}=\{s\}, I_{4}=\{w, e\}, I_{5}=\{w, s\}, I_{6}=\{e, s\}, I_{7}=\{w, e, s\}$.
Notation 1. We define a matrix $M(\triangle(1))=\left(m_{i j}\right)$ by

$$
m_{i}^{j}=\left|\left\{s \in S: \tau(s)^{\cup}\left(I_{i}^{\cap} \phi(s)\right)=J_{j}\right\}\right| .
$$

(We also use $m_{I}^{J}$ and $m_{I_{i}}^{J_{j}}$ instead of $m_{i}^{j}$ in case no confusion is possible). We can see that $m_{1}{ }^{1}=1$ and $m_{1}{ }^{2}=0$.

We can obtain $M(\triangle(1))=\left(m_{i}^{j}\right)$ as follows:

$$
M(\triangle(1))=\left(m_{i}^{j}\right)=\left[\begin{array}{ccccccc}
1 & 0 & 0 & 1 & 1 & 0 & 0 \\
0 & 1 & 0 & 1 & 0 & 1 & 0 \\
0 & 0 & 1 & 0 & 1 & 1 & 0 \\
0 & 0 & 0 & 2 & 0 & 0 & 1 \\
0 & 0 & 0 & 0 & 2 & 0 & 1 \\
0 & 0 & 0 & 0 & 0 & 2 & 1 \\
0 & 0 & 0 & 0 & 0 & 0 & 3
\end{array}\right]
$$

$\left(J_{1} J_{2} J_{3} J_{4} J_{5} J_{6} J_{7}\right)$ is referring columns of the above matrix.
(2) We define a set $E$ as $E=\{w, s, e\}$ and a set $S=\{1,2,3,4,5,6,7,8,9\}$.
$\triangle(2)$ is the symbol of the right picture:
We define $\tau$ as follows: $\tau(1)=\{s\}, \tau(2)=$ $\{s, e\}, \tau(3)=\{s, e\}, \tau(4)=\{e\}, \tau(5)=\{w, s\}$, $\tau(6)=\{w, e\}, \tau(7)=\{w, s\}, \tau(8)=\{w, e\}$, $\tau(9)=\{w\}$.
Definition 1. Let $F$ be a subset of $E$. We define $F^{\perp}=\{t \in E: t \notin F\}$ and we call it the complementary subset of $F$ for $E$. We may write $F \oplus F^{\perp}=E$.

We define a mapping $\phi$ by $\phi(t)=\{\tau(t)\}^{\perp} . I_{i}$ and $J_{i}$ are defined as in (1). We use $m_{i}{ }^{j}$ defined as before and we can see the following the transfer matrix $M(\triangle(2))$ :

$$
M(\triangle(2))=\left[\begin{array}{lllllll}
1 & 0 & 0 & 3 & 3 & 0 & 2 \\
0 & 1 & 0 & 3 & 0 & 3 & 2 \\
0 & 0 & 1 & 0 & 3 & 3 & 2 \\
0 & 0 & 0 & 4 & 0 & 0 & 5 \\
0 & 0 & 0 & 0 & 4 & 0 & 5 \\
0 & 0 & 0 & 0 & 0 & 4 & 5 \\
0 & 0 & 0 & 0 & 0 & 0 & 9
\end{array}\right]
$$

(3) We consider $\triangle(3)$ :

(The picture of $\triangle(3)$ may be called the 3 rd step figure of SIERPINSKI triangle or gasket $\pi$ ).

We define $E$ as before and define $S=\{1,2,3, \ldots, 27\} . I_{i}$ and $J_{i}$ are defined as in (1). We define $\tau$ as follows:

$$
\begin{aligned}
& \tau(1)=\{s\}, \tau(2)=\tau(3)=\tau(4)=\tau(5)=\tau(6)=\tau(7)=\{e, s\}, \tau(8)=\{e\}, \\
& \tau(9)=\tau(13)=\tau(17)=\tau(19)=\tau(23)=\tau(25)=\{w, s\} \\
& \tau(10)=\tau(11)=\tau(20)=\tau(21)=\tau(14)=\tau(16)=\{w, e, s\}=E \\
& \tau(12)=\tau(16)=\tau(18)=\tau(22)=\tau(24)=\tau(26)=\{w, e\}, \tau(27)=\{w\}
\end{aligned}
$$

We define $\varphi(t)$ as $\{\tau(t)\}^{\perp}$. In this case $m_{i}{ }^{j}$ is given by

$$
m_{i}{ }^{j}=\left|\left\{s \in S: \tau(s) \cup\left(I_{i} \cap \varphi(s)\right)=J_{j}\right\}\right| .
$$

For $I_{1}=\{w\}=J_{1}$, we can see that the only choice is $\tau(27)=\{w\}$ and $m_{1}{ }^{1}=1$. We can see that $J_{1}$ and $I_{i}(i \neq 1)$ make $m_{i}{ }^{1}=0$. This way we may obtain
the following:

$$
M(\triangle(3))=\left[\begin{array}{ccccccc}
1 & 0 & 0 & 7 & 7 & 0 & 12 \\
0 & 1 & 0 & 7 & 0 & 7 & 12 \\
0 & 0 & 1 & 0 & 7 & 7 & 12 \\
0 & 0 & 0 & 8 & 0 & 0 & 19 \\
0 & 0 & 0 & 0 & 8 & 0 & 19 \\
0 & 0 & 0 & 0 & 0 & 8 & 19 \\
0 & 0 & 0 & 0 & 0 & 0 & 27
\end{array}\right]
$$

## 3. A PROPOSITION

In this section, we prove a proposition for Sierpinski triangle or gasket. Let $\triangle(n)$ be the $n$-step figure of Sierpinski triangle. Let $E=\{w, e, s\}$ be a set of three elements referring $\triangle(0)$. We let $S=\left\{1,2,3, \ldots, 3^{n}\right\}$ and define $\tau(t)$ by the usual way for $\triangle(n)$. We define $\varphi(t)$ by $\varphi(t)=\{\varphi(t)\}^{-1}$. We define $I_{i}=J_{i}$ as we defined in the section 2 . We use $m_{i}{ }^{j}$ which is defined in the section 2.


Proposition 1. The $n$-th step figure of Sierpinski triangle $\triangle(n)$ has the following transfer matrix $M(\triangle(n))=\left(m_{i}{ }^{j}\right)$ of $\triangle(n)$ :

$$
M(\triangle(n))=\left[\begin{array}{lllllll}
1 & 0 & 0 & 2^{n}-1 & 2^{n}-1 & 0 & 3^{n}-2^{n+1}+1 \\
0 & 1 & 0 & 2^{n}-1 & 0 & 2^{n}-1 & 3^{n}-2^{n+1}+1 \\
0 & 0 & 1 & 0 & 2^{n}-1 & 2^{n}-1 & 3^{n}-2^{n+1}+1 \\
0 & 0 & 0 & 2^{n} & 0 & 0 & 3^{n}-2^{n} \\
0 & 0 & 0 & 0 & 2^{n} & 0 & 3^{n}-2^{n} \\
0 & 0 & 0 & 0 & 0 & 2^{n} & 3^{n}-2^{n} \\
0 & 0 & 0 & 0 & 0 & 0 & 3^{n}
\end{array}\right]
$$

Proof. (i) We apply the method used in the chapter 2 and obtain (1000000) ${ }^{T}$ as the first column of the matrix, where $T$ denotes the symbol of transpose. Similarly, we can prove that the second column of the matrix is $(0100000)^{T}$ and the third column of the matrix is $(0010000)^{T}$.
(ii) We consider $m_{4}{ }^{4}$. If $\triangle(3)$ is the case $(n=3)$, we know that $m_{4}{ }^{4}=2^{3}=8$ by the example and hence it is justified for $n=3$. If $n=4$, there exist $2^{4}$ triangles each of which has $s$ mark and $\tau(t) \cup(\{w, e\} \cap \varphi(t))=\{w, e\}$ gives $m_{4}{ }^{4}=2^{4}=16$. We use a symbol $S_{I}{ }^{J}$ defined as $S_{I}{ }^{J}=\{t \in S: \tau(t) \cup(I \cap \varphi(t))=J\}$. When $I=I_{i}$ and $J=J_{j}$, then $S_{I}{ }^{J}=S_{I_{i}}{ }^{J_{j}}=S_{i}{ }^{j}$ will be used.

If $n=k>3$, then the number of triangles each of which has $s$ mark is equal to $2^{k}$ and hence $S_{I}^{I}$ gives $m_{4}{ }^{4}=2^{k}$, where $I=\{w, e\}$. Similarly, we obtain $m_{5}{ }^{5}=m_{6}{ }^{6}=2^{k}$.
(iii) We consider $m_{1}{ }^{4}$. In the case $S_{I}{ }^{I}$ gives $m_{7}{ }^{7}=3^{k} \quad(n=k)$ because each triangle contributes 1 for $m_{7}{ }^{7}$, where $I=E$. (Each triangle means that a triangle with a number $t \in\left\{1,2,3, \ldots, 3^{k}\right\}$.)
(iv) We consider $m_{1}{ }^{4}$. We take $S_{I}{ }^{J}$ and triangles with $s$ marks, where $I=\{w\}$ and $J=\{w, e\}$. The number of all triangles with $s$ marks is equal to $2^{n}$ for $\triangle(n)$.

We need a symbol tri $(u)$ as the triangle numbered $u$. Then we see that tri $\left(2^{n}\right)$, $\operatorname{tri}\left(2^{n}+2^{n-1}\right)$, $\operatorname{tri}\left(2^{n}+2\left(2^{n-1}\right)+2^{n-2}\right), \operatorname{tri}\left(2^{n}+2\left(2^{n-1}\right)+2^{n-2}+2^{n-1}\right)$, tri $\left(2^{n}+\right.$ $\left.2\left(2^{n-1}\right)+2^{n-2}+2^{n-1}+2\left(2^{n-2}\right)\right), \ldots, \operatorname{tri}\left(3^{n}-1\right)$ are triangles such that each tri $(u)$ has $s$ mark and $u \in S_{I}{ }^{J}$. We note that $3^{n} \notin S_{I}{ }^{J}$. Thus we obtain $m_{1}{ }^{4}=2^{n}-1$. Similarly, we have that $m_{1}{ }^{5}=2^{n}-1$.

For $m_{1}{ }^{6}$, we have $I_{1}=\{w\}$ and $J_{6}=\{e, s\}$. By $S_{I}{ }^{J}$ with $I=I_{1}$ and $J_{6}$, we clearly obtain that $m_{1}{ }^{6}=0$.
(v) For $m_{1}{ }^{7}$, we let $I=\{w\}$ and $J=\{w, e, s\}$.

We know that the total number of $\operatorname{tri}(u), u=1,2, \ldots, 3^{n}$, is equal to $3^{n}$. If $I_{1}=\{w\}$ is fixed and $J_{i}$ varies $(i=1,2, \ldots, 7)$, then we obtain that $m_{1}{ }^{1}+m_{1}{ }^{2}+$ $\cdots+m_{1}{ }^{7}=3^{n}=\sum_{i=1}^{7} m_{1}{ }^{i}$ and $m_{1}{ }^{7}=3^{n}-1-2\left(2^{n}-1\right)=3^{n}-2^{n+1}+1$.
(vi) Consider $m_{4}{ }^{7}$. We know that $m_{4}{ }^{5}=m_{4}{ }^{6}=0$ and $m_{4}{ }^{1}=m_{4}{ }^{2}=$ $m_{4}^{3}=0$. We also know that $m_{4}{ }^{4}=2^{n}$ and $\sum_{i=1}^{7} m_{4}{ }^{i}=3^{n}$. Thus we obtain that $m_{4}{ }^{7}=3^{n}-2^{n}$.

The rest is clear and we have proved the proposition.

## N-DIMENSIONAL SIERPINSKI TETRAHEDRON

In this section, we take $n$-dimensional Sierpinski tetrahedrons $n$, and have Proposition 2 and Theorem 1 about $n, n \geq 3$.

Definition 2. (i) Let $E=\left\{w_{1}, w_{2}, \ldots, w_{k}\right\}$ be a set of $k$ elements. We let $I_{i}=\left\{w_{i}\right\}(i=1,2, \ldots, k), I_{k+j}=\left\{w_{1}, w_{j+1}\right\}(j=1,2, \ldots, k-1), I_{2 k}=$ $\left\{w_{2}, w_{3}\right\}, \ldots, I_{\pi}=\left\{w_{k-1}, w_{k}\right\}(\pi=1+2+3+\cdots+k-1), I_{\pi+1}=\left\{w_{1}, w_{2}, w_{3}\right\}$, and so on.
(ii) $\Lambda(m)$ is used as the symbol of the $k$-th step figure of $n$-dimensional Sierpinski tetrahedron. Let $S=\left\{1,2, \ldots,(n+1)^{k}\right\}$ for $\AA(m)$.
(iii) Let $E=\left\{w_{1}, w_{2}, \ldots, w_{n+1}\right\}$ for $n(m)$.
(iv) If $\tau(t)$ and $\varphi(t)$ are defined for $n(m)$, then the the transfer matrix $M(\bigwedge(m))=M=\left(m_{i}{ }^{j}\right)$ will be called the combinatorial transfer matrix of the $n$-dimensional Sierpinski tetrahedron, or $\lfloor(m)$.

Definition 3. Let $M(\widehat{n}(m))=M=\left(m_{i}{ }^{j}\right)$ be the combinatorial transfer matrix of $n(m)$.
(i) The submatrix of $M$ for $I_{i}(i=1,2, \ldots, n+1)$ will be denoted by $M_{11}$.
(ii) The submatrix of $M$ for $I_{k+j}$ will be denoted by $M_{22}$.
(iii) Similarly, we define submatrix $M_{i i}(i=1,2, \ldots, n+1)$.
(iv) We hence can define $M_{i j}$ as a submatrix of the matrix, for $i, j=$ $1,2, \ldots, n+1$.

We consider the following tetrahedron $\widehat{3}(0)$ with vertices $A=e_{1}=\left(\begin{array}{ll}1 & 0\end{array} 0\right)$, $B=e_{2}=\left(\begin{array}{lll}0 & 1 & 0\end{array}\right), C=e_{3}=\left(\begin{array}{lll}0 & 0 & 1\end{array}\right)$ and $O=e_{0}=\left(\begin{array}{lll}0 & 0 & 0\end{array}\right)$ in the 3-dimensional Euclidean space $\mathbf{R}^{3}$ :


As in the section 3, we say that the $k$-step figure of (3-dimensional) SierPINSKI tetrahedron and it will be denoted by $\hat{3}(k)$. We can define $E, S, \tau(t)$ and $\varphi(t)$ as before .

Definition 4. $\left\{t \in S: \tau(t) \cup\left(I_{i} \cap \varphi(t)\right)=J_{j}\right\}=S_{i}{ }^{j}$ will be called the $S_{i}{ }^{j}$ set ( 3 ( $k$ )).

We know that $\left|S_{i}{ }^{j}\right|=m_{i}{ }^{j}$. We state the proposition 2.
Proposition 2. (i) Let 3 (1) be the first step of Sierpinski tetraedron. Then the combinatorial transfer matrix $M(\widehat{3}(1))=M=\left(m_{i}{ }^{j}\right)$ of $\hat{3}(1)$ is given by the
following

$$
\begin{aligned}
M & =\left[\begin{array}{lllllllllllllll}
1 & 0 & 0 & 0 & 1 & 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 1 & 0 & 0 & 1 & 0 & 0 & 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 1 & 0 & 0 & 1 & 0 & 1 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 1 & 0 & 0 & 1 & 0 & 1 & 1 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 2 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 2 & 0 & 0 & 0 & 0 & 1 & 0 & 1 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 2 & 0 & 0 & 0 & 0 & 1 & 1 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 2 & 0 & 0 & 1 & 0 & 0 & 1 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 2 & 0 & 0 & 1 & 0 & 1 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 2 & 0 & 0 & 1 & 1 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 3 & 0 & 0 & 0 & 1 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 3 & 0 & 0 & 1 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 3 & 0 & 1 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 3 & 1 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 4
\end{array}\right] \\
& =\left[\begin{array}{llllll}
M_{11} & M_{12} & M_{13} & M_{14} \\
M_{21} & M_{22} & M_{23} & M_{24} \\
M_{31} & M_{32} & M_{33} & M_{34} \\
M_{41} & M_{42} & M_{43} & M_{44}
\end{array}\right] .
\end{aligned}
$$

(ii) Let 3 ( $k$ ) be the $k$-th step of Sierpinski tetrahedron. Then the combinartorial transfer matrix $M(\widehat{3}(k))=M(3, k)$ takes the following form:

$$
M(3, k)=\left[\begin{array}{llll}
M_{11} & M_{12} & M_{13} & M_{14} \\
M_{21} & M_{22} & M_{23} & M_{24} \\
M_{31} & M_{32} & M_{33} & M_{34} \\
M_{41} & M_{42} & M_{43} & M_{44}
\end{array}\right],
$$

(ii)-(1) $M_{i i}$ is the diagonal matrix $=\operatorname{diag}\left(i^{k}, i^{k}, \ldots, i^{k}\right),(i=1,2,3,4)$.
(ii)-(2) $M_{i j}=O$ for $i>j$, where $O$ denotes the zero matrix.
(ii) $-(3)$

$$
M_{12}=\left[\begin{array}{cccccc}
\lambda & \lambda & \lambda & 0 & 0 & 0 \\
\lambda & 0 & 0 & \lambda & \lambda & 0 \\
0 & \lambda & 0 & \lambda & 0 & \lambda \\
0 & 0 & \lambda & 0 & \lambda & \lambda
\end{array}\right]
$$

where $\lambda=2^{k}-1$.
(ii) $-(4)$

$$
M_{13}=\left[\begin{array}{cccc}
\lambda & \lambda & \lambda & 0 \\
\lambda & \lambda & 0 & \lambda \\
\lambda & 0 & \lambda & \lambda \\
0 & \lambda & \lambda & \lambda
\end{array}\right],
$$

where $\lambda=3^{k}-2^{k+1}+1$.
(ii)-(5) $M_{14}=\left[\begin{array}{llll}\lambda & \lambda & \lambda & \lambda\end{array}\right]^{T}$, where $\lambda=4^{k}-3^{k+1}+3 \cdot 2^{k}-1$.
(ii)-(6)

$$
M_{23}=\left[\begin{array}{cccc}
\lambda & \lambda & 0 & 0 \\
\lambda & 0 & \lambda & 0 \\
0 & \lambda & \lambda & 0 \\
\lambda & 0 & 0 & \lambda \\
0 & \lambda & 0 & \lambda \\
0 & 0 & \lambda & \lambda
\end{array}\right],
$$

where $\lambda=3^{k}-2^{k}$.
(ii)-(7) $M_{24}=\left[\begin{array}{lllll}\lambda & \lambda & \lambda & \lambda & \lambda\end{array}\right]^{T}$, where $\lambda=4^{k}-2 \cdot 3^{k}+2^{k}$.
(ii)-(8) $M_{34}=\left[\begin{array}{lll}\lambda & \lambda & \lambda\end{array}\right]^{T}$, where $\lambda=4^{k}-3^{k}$.

The proof of Proposition 2 is similar to the proof of Proposition 1 and we omit the proof of the proposition.

In the $n$-dimensional Euclidean space $\mathbf{R}^{n}$, a symbol $n$ denotes the $n$ dimensional tetrahedron with vertices $e_{0}=\left(\begin{array}{llll}0 & 0 & \ldots & 0\end{array}\right), e_{1}=\left(\begin{array}{lllll}1 & 0 & 0 & \ldots\end{array}\right)$, $e_{2}=\left(\begin{array}{lllll}0 & 1 & 0 & \ldots & 0\end{array}\right), \ldots, e_{n}=\left(\begin{array}{lllll}0 & 0 & \ldots & 0 & 1\end{array}\right)$. We may use $\quad n(k)$ as a symbol for the $k$-th step figure of an $n$-dimensional SIERPINSKI tetrahedron. We define a set $E$ and state Theorem 1.

Definition 5. Let $E=\left\{w_{1}, w_{2}, \ldots, w_{n+1}\right\} .\left\langle\begin{array}{lllll}\dot{e}_{1} & e_{2} & e_{3} & \ldots & e_{n}\end{array} e_{0}\right\rangle$ denotes the $(n-1)$ dimensional tetrahedron formed by $e_{2}, e_{3}, \ldots, e_{n}$ and $e_{0}$, where $\dot{e}_{1}$ means that $e_{1}$ is missing.

We write $w_{1}$ as $w_{1}=\left\langle\begin{array}{lllllll}\dot{e}_{1} & e_{2} & \ldots & e_{n} & e_{0}\end{array}\right\rangle$ and $w_{2}=\left\langle\begin{array}{llll}e_{1} & \dot{e}_{2} & e_{3} & \ldots\end{array} e_{n} e_{0}\right\rangle$. Similarly we can write $w_{3}, w_{4}, \ldots, w_{n+1}$.
(We have used $E=\{w, e, s\}$ in the section 2 and we may rewrite as $w_{1}=$ $w, w_{2}=e$ and $w_{3}=s$.)

We state theorem 1 .
Theorem 1. A combinatorial transfer matrix $M(\bigwedge(k))=M=\left(M_{i j}\right)$ takes the following:
(1) $M_{i i}-\operatorname{diag}\left(i^{k} i^{k} \ldots i^{k}\right)$ is a diagonal matrix $(i=1,2, \ldots, n+1)$ and a $\binom{n+1}{i}$ by $\binom{n+1}{i}$ matrix.
(2) $M_{i j}=O$ is the zero matrix if $j>i$.
(3) For each $i \in\left\{1,2, \ldots, 2^{n+1}-1\right\}, \sum_{j=1}^{n+1} m_{i}^{j}=(n+1)^{k}$.
(4) If $u_{1}$ and $u_{2}$ are non-zero elements of $M_{i j}$, then $u_{1}=u_{2}$.
(5) Suppose that $m_{1}, m_{2}$ and $m_{3}$ are non-zero elements of $M_{i i}, M_{i+1}$ and $M_{i+1}{ }_{i+1}$, respectively, then $m_{1}+m_{2}=m_{3},(i=1,2, \ldots, n+1)$.
(6) Suppose that $m_{1}, m_{2}$ and $m_{3}$ are non-zero elements of $M_{i+1}, M_{i}{ }_{i+2}$ and $M_{i+1}{ }_{i+2}$, respectively, then $m_{1}+m_{2}=m_{3}$.

Proof of Theorem 1. We assume $E$ is defined for $n(0)$ by Definition 5. Suppose we have defined $S=\left\{1,2, \ldots,(n+1)^{k}\right\}$ for $n(k)$ and hence we can say that $\operatorname{tri}(u)$ $\left(u=1,2, \ldots,(n+1)^{k}\right)$ is defined as we had in the chapter $2-(2)$, where tri $(u)$ means that a small tetrahedron with the number $u$. We also have defined $\tau(t)$ for $t \in S$.
(1) We now consider $M_{11}$. There exists $u$ in $S$ such that $\tau(u)=\left\{w_{1}\right\}$ and $\varphi(u)=\{\tau(u)\}^{\perp}$. Thus $S_{1}{ }^{1}=\{u\}$ and $m_{1}{ }^{1}=1$. It is clear that $m_{j}{ }^{1}=0(j=$ $2,3, \ldots, n+1)$ by $S_{j}{ }^{1}$.

By the combinatorial observation we conclude that $M_{11}=I$, the identity matrix of rank $(n+1)$.

Consider now $M_{22}$. Let $I=\left\{w_{1}, w_{2}\right\}=J$. Assume that $k=1$. Then there exist $u_{1}$ and $u_{2}$ in $S$ such that $\tau\left(u_{1}\right)=\left\{w_{1}\right\}$ and $\tau\left(u_{2}\right)=\left\{w_{2}\right\}$. Thus $m_{I}{ }^{J}=2$. If $k=2$, there exist $u_{3}$ and $u_{4}$ in $S$ such that $\tau\left(u_{3}\right)=\left\{w_{1}, w_{2}\right\}=\tau\left(u_{4}\right)$. We know that tri $\left(u_{1}\right)$ and tri $\left(u_{2}\right)$ make $\tau\left(u_{1}\right)=\left\{w_{1}\right\}$ and $\tau\left(u_{2}\right)=\left\{w_{2}\right\}$, respectively. Thus we obtain that $m_{I}^{J}=2^{2}$. This way we obtain that $m_{I}^{J}=2^{p}$ when $k=p$. The rest is clear and hence we have $\left.M_{22}=\operatorname{diag}\right],\left(\begin{array}{ll}2^{k} & \left.2^{k} \ldots 2^{k}\right)=2^{k} \cdot I \text {, where } I \text { is the }\end{array}\right.$ identity matrix of rank $\binom{n+1}{2}$.
(2) It is clear by $S_{I}{ }^{J}$.
(3) Let $i \in\left\{1,2, \ldots, 2^{k+1}-1\right\}$. Consider $u \in\left\{1,2, \ldots,(n+1)^{k}\right\}=S$. tri (u) contributes 1 to $\sum_{j=1}^{\pi} m_{i}{ }^{j}$, where $\pi$ denotes $\pi=2^{n+1}-1$. Therefore we obtain that $\sum_{j=1}^{\pi} m_{i}^{j}=(n+1)^{k}$.
(4) By a combinatorial observation or a statistical view the assertion is justified.
(5) We know that $M_{n n}$ is a $(n+1)$ by $(n+1)$ matrix and $M_{n+1 n+1}$ is a number or $M_{n+1 n+1}=(n+1)^{k}$. By (3), the assertion is true for this case. If $i=n-1$, the assertion is also true. We omit the rest of the proof of (5).
(6) See Proposition 2 for a special case and we omit the rest of the proof of (6).

Note 1. For 3 (2), we defined $S$ as $\left\{1,2, \ldots, 4^{2}\right\}$. We may redefine $S$ as $S=$ $\{1-1,1-2,1-3,1-4,2-1,2-2,2-3,2-4,3-1,3-2,3-3,3-4,4-1$, $4-2,4-3,4-4\}$.

## 5. FRACTAL DIMENSION THEOREM

We consider Fractal Dimensions of $n$-dimensional SiERPINSKi tetrahedron $\lfloor(\pi)$ in connection with a transfer matrix of $n$-dimensional SIERPINSKI tetrahedron. We start with the following definition.

Definition 6 [1, p. 173-174]. Let $(X, d)$ denote a complete metric space. Let $A \in H(X)$ (see [1] for $H(X)$ ) be a non-empty compact subset of $X$. Let $\varepsilon>0$. Let $B(x, \varepsilon)$ denote the closed ball of radius $\varepsilon$ and center at a point $x \in X$. For each $\varepsilon>0$, let $N(A, \varepsilon)$ denote the smallest number of closed ball $B(x, \varepsilon)$ of radius $\varepsilon$ needed to cover $A$. If $D=\lim _{\varepsilon \rightarrow 0}(\ln (N(A, \varepsilon)) / \ln (1 / \varepsilon))$ exists, then $D$ is called the fractal dimension of $A$. We use the Euclidean metric d and $X=R$. We will use the notation $D=D(A)$, and will say that $A$ has fractal dimension $D=D(A)$.

We use the box counting theorem.

Theorem 2 [1, p 136]. (The box counting theorem) Let $A \in H\left(R^{m}\right)$, where the Euclidean metric is used. Cover $R^{m}$ by closed just-touching square boxed of side length $1 / 2^{n}$. Let $N(A, n)$ denote the number of boxed of side length $1 / 2^{n}$ which intersect the attractor. If $D=\lim _{n \rightarrow \infty}\left(\ln (N(A, n)) / \ln \left(2^{n}\right)\right)$, then $A$ has fractal dimension $D$.

Theorem 1 states that $M_{n+1} n+1=(n+1)^{k}$ for $\lfloor n(k)$.
Let $2^{n+1}-1=\alpha$. Then $m_{\alpha}^{\alpha}=(n+1)^{k}$ by Theorem 1. If we write $m(n)$, then $m_{\alpha}{ }^{\alpha}=(m+1)^{n}$. We shall use it in Theorem 3.

Definition 7. We define $m(\pi)$ as $m(\pi)=\lim _{n \rightarrow \infty} m(n)$ and we may say that $m(\pi)$ denotes the symbol of an $m$-dimensional SIERPINSKY tetrahedron.

Theorem 3. An m-dimensional Sierpinski tetrahedron m ( $\pi$ ) has fractal dimension

$$
D=D(\npreceq m(\pi))=\lim _{n \rightarrow \infty} \frac{\ln (N(\nless m(n)))}{\ln \left(2^{n}\right)}=\lim _{n \rightarrow \infty} \frac{\ln (m+1)^{n}}{\ln \left(2^{n}\right)}=\frac{\ln (m+1)}{\ln 2}
$$

We apply the box counting theorem to $\not m(n)$ and obtain $N(\cong(n))=$ $(m+1)^{n}$. The rest of the proof is clear.

We refer to [2] for a detailed proof of Theorem 3. (We note that an $m$ dimensional Sierpinski tetrahedron is not usual one.)

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