

ON THE KURATOWSKI MEASURE OF NONCOMPACTNESS IN METRIC LINEAR SPACES

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Dedicated to professor Dušan Adamović, on the occasion of his 70th birthday

In this note we present some properties of the Kuratowski's measure of noncompactness in metric linear spaces.

1. INTRODUCTION

Proposition 0.1 (see ROLEWICZ [4]). *Let X be a metric linear space. Then there exists metric d on X which is equivalent with original metric on X such that function $|\cdot| : X \rightarrow [0, +\infty)$ defined by $|x| = d(x, 0)$ has following properties:*

- 1) $|x| = 0$ if and only if $x = 0$;
- 2) $|x| = |-x|$;
- 3) $|x + y| \leq |x| + |y|$;
- 4) $0 < \alpha < \beta$ implies $|\alpha x| < |\beta x|$.

The mapping $|\cdot|$ is said to be an F -norm or *paranorm*. If there exists a number p , $0 < p \leq 1$, such that $|tx| = |t|^p|x|$ for any scalar t and $x \in X$ it is said that $|\cdot|$ is a p -norm and X is a p -normed space.

Let X be a HAUSDORFF topological vector space. A set $A \subseteq X$ is *bounded* if for each neighborhood of zero U there is a scalar α such that $A \subseteq \alpha U$. The space X is *locally bounded* if it contains a bounded neighborhood of zero. X is a locally bounded space if and only if X is metrizable and p -normable.

The theory of measures of noncompactness has many applications in Functional analysis and Operator theory (see [2], [5]). If Q is a bounded subset of metric space X , then the KURATOWSKI measure of noncompactness of Q is defined by

$$\alpha(Q) = \inf\{r > 0 \mid Q \subseteq \bigcup_{i=1}^n S_i, S_i \subseteq X, \text{diam}(S_i) < r, 1 \leq i \leq n, n \in \mathbf{N}\},$$

where $\text{diam}(\cdot)$ denotes the diameter.

In the proofs of our results we need the following well-known properties of the KURATOWSKI measure of noncompactness.

Proposition 0.2 (see BANÁS and GOEBEL [2], RAKOČEVIĆ [5]). *If Q, Q_1 and Q_2 are bounded subsets of a metric spaces (X, d) then*

- 1) $\alpha(Q) = 0$ if and only if Q is a totally bounded set;
- 2) $Q_1 \subseteq Q_2$ implies $\alpha(Q_1) \leq \alpha(Q_2)$;
- 3) $\alpha(Q_1 \cup Q_2) = \max\{\alpha(Q_1), \alpha(Q_2)\}$;

In this paper we investigate some properties of the KURATOWSKI measure of noncompactness on arbitrary metric linear space. Corresponding results for the HAUSDORFF measure of noncompactness are obtained by I. JOVANOVIĆ, V. RAKOČEVIĆ [4] and I. ARANDJELOVIĆ, M. MILOVANOVIĆ-ARANDJELOVIĆ [1].

2. RESULTS

Let (X, d) be a metric space, $x \in X$ and $r > 0$. By $B(x, r)$ we denote $\{y \in X : d(x, y) \leq r\}$.

Proposition 1. *If Q, Q_1 and Q_2 are bounded subsets of arbitrary metric linear space X and $x \in X$, then*

- 1) $\alpha(Q_1 + Q_2) \leq \alpha(Q_1) + \alpha(Q_2)$;
- 2) $\alpha(x + Q) = \alpha(Q)$;

Proof. Let $Q_1 \subseteq \bigcup_{i=1}^m A_i$; $\text{diam}(A_i) \leq \alpha(Q_1)$, $i = 1, 2, \dots, m$ and $Q_2 \subseteq \bigcup_{j=1}^n B_j$; $\text{diam}(B_j) \leq \alpha(Q_2)$, $j = 1, 2, \dots, n$. From

$$Q_1 + Q_2 \subseteq \bigcup_{i=1}^m \bigcup_{j=1}^n [A_i + B_j]$$

and $\text{diam}(A_i + B_j) \leq \text{diam}(A_i) + \text{diam}(B_j)$ follows $\alpha(Q_1 + Q_2) \leq \alpha(Q_1) + \alpha(Q_2)$.

From 1) we have $\alpha(x + Q) \leq \alpha(\{x\}) + \alpha(Q) = \alpha(Q)$, which implies $\alpha(Q) = \alpha(-x + x + Q) \leq \alpha(x + Q)$. So $\alpha(x + Q) = \alpha(Q)$. \square

Proposition 2. *If X is locally bounded HAUSDORFF topological vector space, $Q \subseteq X$ its bounded subset, $\|\cdot\|$ is a p -norm on X and β arbitrary scalar then*

$$\alpha(\beta Q) = |\beta|^p \alpha(Q).$$

Proof. Let $\beta \neq 0$. From $Q \subseteq \bigcup_{i=1}^n S_i$, it follows that $\beta Q \subseteq \bigcup_{i=1}^n \beta S_i$. Now

$$\text{diam}(\beta S_i) = \sup_{x, y \in S_i} |\beta(x - y)| = |\beta|^p \sup_{x, y \in S_i} |(x - y)| = |\beta|^p \text{diam}(S_i)$$

which implies $\alpha(\beta Q) \leq |\beta|^p \alpha(Q)$. Since $\beta^{-1}\beta Q = Q$, we have $\alpha(Q) \leq |\beta|^{-p} \alpha(\beta Q)$, and so $|\beta|^p \alpha(Q) \leq \alpha(\beta Q)$. It follows $\alpha(\beta Q) = |\beta|^p \alpha(Q)$. \square

Next topological lemma is an extension of well known result of BORSUK, LUSTERNIK and SCHNIRELMAN.

Lemma. *Let E_n be n -dimensional metric linear space, F_1, \dots, F_n its closed subsets and $S_*^{n-1} = \{x \in E_n \mid \|x\| = 1\}$. If $S_*^{n-1} \subseteq \bigcup_{i=1}^n F_i$ then there exists $x \in S_*^{n-1}$ and $i \in \{1, \dots, n\}$ such that $x \in F_i$ and $-x \in F_i$.*

Proof. Let $\|\cdot\|$ be an Euclidean norm on E_n and $S^{n-1} = \{x \in E_n \mid \|x\| = 1\}$. Mapping $h : S_*^{n-1} \rightarrow S^{n-1}$ defined by $h(x) = \frac{x}{\|x\|}$ is the homeomorphism S_*^{n-1} to S^{n-1} such that $h(-x) = -h(x)$. From $S_*^{n-1} \subseteq \bigcup_{i=1}^n F_i$ it follows $S^{n-1} \subseteq \bigcup_{i=1}^n h(F_i)$. By classical result of BORSUK, LUSTERNIK and SCHNIRELMAN there exists $y \in S^{n-1}$ and $i \in \{1, \dots, n\}$ such that $y \in h(F_i)$ and $-y \in h(F_i)$. Let $x = h^{-1}(y) = \frac{y}{\|y\|}$. Then $x \in F_i$ and $-x \in F_i$. \square

Next proposition is an extension of well known result of M. FURI and A. VIGNOLI [3].

Proposition 3. *If X is an infinite-dimensional metric linear space, and $B(0, 1)$ is its closed unit ball, then*

$$\text{diam}(B(0, 1)) \geq \alpha(B(0, 1)) \geq \inf_{\|x\|=1} \|2x\|.$$

Proof. Let us remark that clearly $\text{diam}(B(0, 1)) \geq \alpha(B(0, 1))$. If $\alpha(B(0, 1)) < s = \inf_{\|x\|=1} \|2x\|$ then there exists closed sets $F_1, \dots, F_n \subseteq X$ such that $B(0, 1) \subseteq \bigcup_{i=1}^n F_i$, and $\text{diam}(F_i) < s, 1 \leq i \leq n$. Let $\{x_1, \dots, x_n\}$ be a linearly independent subset of X . Then $E_n = \text{lin}\{x_1, \dots, x_n\}$ is a finite-dimensional subspace of X and

$$S_*^{n-1} = \{x \in E_n \mid \|x\| = 1\} \subseteq \bigcup_{i=1}^n F_i \cap S_*^{n-1}.$$

By lemma it follows that there exists $x \in S_*^{n-1}$ and $i \in \{1, \dots, n\}$ such that $\{x, -x\} \subseteq F_i \cap S_*^{n-1}$. From $d(x, -x) = \|2x\|$ follows $\text{diam}(F_i) \geq s$ which is a contradiction.

Corollary. *If X is an infinite-dimensional locally bounded HAUSDORFF topological vector space, $x_0 \in X, \|\cdot\|$ is a p -norm on X and $r > 0$ then*

$$r^{2p} \leq \alpha(B(x_0, r)) \leq r \text{diam}(B(0, 1)).$$

Proof. For $r > 0$ conditions $\|x\| \leq 1$ and $\|r^{\frac{1}{p}}x\| \leq r$ are equivalent, which implies $B(0, r) = r^{\frac{1}{p}}B(0, 1)$. So $\alpha(B(x_0, r)) = \alpha(x_0 + B(0, r)) = \alpha(B(0, r)) =$

$\alpha(r^{\frac{1}{p}}B(0, r)) = r\alpha(B(0, 1)) \geq r2^p$ and $\text{diam}(B(x_0, r)) = \text{diam}(B(0, r)) = \text{diam}(r^{\frac{1}{p}}B(0, 1)) = r\text{diam}(B(0, 1))$. \square

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